

Security Controls For Sarbanes Oxley Section 404 IT Compliance: Authorization, Authentication, And Access

A Proven Program & Business Model for Security A complete and proven Information Security Program and services used by numerous organizations to apply practical security controls. Business Practical Security Inc.'s products and services provide regulatory compliance such as Sarbanes-Oxley, HIPAA, and GLBA. The defined practices are based on ISO17799, COBIT, and the National Institute of Standards and Technology's (NIST) Special Publication 800-53. The book provides any SOX practitioner with immediate access to pragmatic processes for use in either the initial or ongoing phases for Sarbanes Oxley 404. The entire SOX process is reviewed in detail with examples, forms and formats provided to assist you in developing sustainable, cost efficient processes. The book provides both the Entity Level and Transaction level control streams in detail. The book provides the SOX Repository, Management analyses and reports, Risk Assessment Processes on both the Entity and Transaction levels, the optimal SOX fiscal calendar, the Deficiency Management Process (including aggregation), External Auditor Coordination, Sub certification processes, etc. PART OF THE NEW JONES & BARTLETT LEARNING INFORMATION SYSTEMS SECURITY & ASSURANCE SERIES Security Policies and Implementation Issues, Third Edition offers a comprehensive, end-to-end view of information security policies and frameworks from the raw organizational mechanics of building to the psychology of implementation. Written by industry experts, the new Third Edition presents soft skills, while introducing many different concepts of information security in clear simple terms such as governance, regulator mandates, business drivers, legal considerations, and much more. With step-by-step examples and real-world exercises, this book is a must-have resource for students, security officers, auditors, and risk leaders looking to fully understand the process of implementing information security policies and implementation issues. Includes: PowerPoint Lecture Slides Instructor's Guide Sample Course Syllabus Quiz & Exam Questions Case Scenarios/Handouts About the Series This book is part of the Information Systems Security and Assurance Series from Jones and Bartlett Learning. Designed for courses and curriculums in IT Security, Cybersecurity, Information Assurance, and Information Security. This book is a must-read for all CFOs and controllers. In one volume, it addresses everything a financial executive needs to know about IT and its impact on the financial function, while also featuring practical guidelines, current hot topics, and IT best practices. This book covers it all. --Jo Marie Dancik, Regional Information Security Fundamentals, Second Edition

Executive's Guide to COSO Internal Controls

Computerworld

Responsive Security

Sarbanes-Oxley and Nonprofit Management

Manager's Guide to the Sarbanes-Oxley Act

Get practical tools and guidance for financial controllership you can put to immediate use The Controllor's Toolkit delivers a one-of-a-kind collection of templates, checklists, review sheets, internal controls, policies, and procedures that will form a solid foundation for any new or established financial controller. You'll get the tools and information you need to master areas like business ethics, corporate governance, regulatory compliance, risk management, security, IT processes, and financial operations. All of the tools contained in this indispensable book were recommended by corporate and business unit controllers from small to medium-sized companies and large, multinational firms. You will benefit from master-level guidance in areas like: Ethics, Codes of Conduct, and the "Tone at the Top" to support ethical behavior The operational and financial aspects of corporate governance The importance of the Committee of Sponsoring Organizations of the Treadway Commission Framework The requirement for entity-level controls The importance of linking the business plan with the budget process The Controllor's Toolkit also belongs on the bookshelves of finance and accounting students, executives, and managers who wish to know more about the often-complex world of financial controls.

This book illustrates the many Open Source cost savings opportunities available to companies seeking Sarbanes-Oxley compliance. It also provides examples of the Open Source infrastructure components that can and should be made compliant. In addition, the book clearly documents which Open Source tools you should consider using in the journey towards compliance. Although many books and reference material have been authored on the financial and business side of Sox compliance, very little material is available that directly address the information technology considerations, even less so on how Open Source fits into that discussion. Each chapter begins with an analysis of the business and technical ramifications of Sarbanes-Oxley as regards to topics covered before moving into the detailed instructions on the use of the various Open Source applications and tools relating to the compliance objectives. Shows companies how to use Open Source tools to achieve SOX compliance, which dramatically lowers the cost of using proprietary, commercial applications. Only SOX compliance book specifically detailing steps to achieve SOX compliance for IT Professionals.

Computer and Information Security Handbook, Third Edition, provides the most current and complete reference on computer security available in one volume. The book offers deep coverage of an extremely wide range of issues in computer and cybersecurity theory, applications, and best practices, offering the latest insights into established and emerging technologies and advancements. With new parts devoted to such current topics as Cloud Security, Cyber-Physical Security, and Critical Infrastructure Security, the book now has 100 chapters written by leading experts in their fields, as well as 12 updated appendices and an expanded glossary. It continues its successful format of offering problem-solving techniques that use real-life case studies, checklists, hands-on exercises, question and answers, and summaries. Chapters new to this edition include such timely topics as Cyber Warfare, Endpoint Security, Ethical Hacking, Internet of Things Security, Nanoscale Networking and Communications Security, Social Engineering, System Forensics, Wireless Sensor Network Security, Verifying User and Host Identity, Detecting System Intrusions, Insider Threats, Security Certification and Standards Implementation, Metadata Forensics, Hard Drive Imaging, Context-Aware Multi-Factor Authentication, Cloud Security, Protecting Virtual Infrastructure, Penetration Testing, and much more. Written by leaders in the field Comprehensive and up-to-date coverage of the latest security technologies, issues, and best practices Presents methods for analysis, along with problem-solving techniques for implementing practical solutions

Praise for IT Best Practices "The work of the financial manager revolves around a company's financial systems. Ms. Roehl-Anderson's latest offering addresses the two key aspects of these systems—how to buy and install them. The book covers every conceivable aspect of these systems, including ERP, software as a service, shared services, and supporting controls. As a bonus, the book contains substantial coverage of information technology considerations in an acquisition. This is a definitive desk reference." —Steve Bragg, CFO, XeDAR Corporation, and author of Accounting Best Practices "Sage advice from one of the most adept project managers in the industry! Jan and team have delivered a practical, yet comprehensive guidebook for software selection, implementation, rollout, and ongoing updates. This guidebook will become a valuable reference for every financial manager and IT project manager undertaking ERP implementation." —Valerie Borthwick, former senior vice president, Oracle Consulting "Written by one of the best in the IT business, this book is a must-read for all CFOs and controllers. In one volume, it addresses everything a financial executive needs to know about IT and its impact on the financial function, while also featuring practical guidelines, current hot topics, and IT best practices. This book covers it all." —Jo Marie Dancik, Regional Managing Partner (Retired), Ernst & Young

How to Tackle C-SOX?

The Role of IT in the Design and Implementation of Internal Control Over Financial Reporting

Authorization, Authentication, and Access

The Success Formula for the Implementation of the Basic Standard for Enterprise Internal Control - the Chinese Answer to the Sarbanes-Oxley-Act

The Joy of SOX

Executive Roadmap to Fraud Prevention and Internal Control

Readings and Cases in Information Security: Law and Ethics provides a depth of content and analytical viewpoint not found in many other books. Designed for use with any Cengage Learning security text, this resource offers readers a real-life view of information security management, including the ethical and legal issues associated with various on-the-job experiences. Included are a wide selection of foundational readings and scenarios from a variety of experts to give the reader the most realistic perspective of a career in information security. Important Notice: Media content referenced within the product description or the product text may not be available in the ebook version.

Is your organization ready for increased reporting requirements, regulations, and increased expectations from donors? This combination reference/workbook prepares you and your staff for how Sarbanes-Oxley best practices can benefit your organization. It includes: A structured description of Sarbanes-Oxley and its implications for nonprofits Detailed discussions on governance, including financial literacy for board members, new standards of accountability for boards, and best practices for nonprofit management Sample documents, procedures, and frameworks to help implement best practices Worksheets, forms, and resource materials in each chapter A "walk-through" of typical financial statements and amendments such as a Conflict of Interest policy, board orientation curriculum, a Whistleblower Protection policy, a Document Retention policy, and a fundraising plan. Implementing proven best practices stemming from Sarbanes-Oxley can diminish organizational dysfunction, promote a solid infrastructure, and propel your organization to the platinum standard of operations and governance, giving your organization the competitive advantage in today's demanding nonprofit environment.

You may not believe that there's a fun and easy way to comply with Sarbanes-Oxley, but once you have Sarbanes-Oxley For Dummies, Second Edition in front of you, you're sure to change your mind. This friendly guide gets you quickly up to speed with the latest SOX legislation and shows you safe and effective ways to reduce compliance costs. In plain English, this completely reliable handbook walks you through the new and revised SOX laws, introduces compliance strategies for changed and unchanged guidelines, and gives you an effective framework for implementation You'll find out how to create an efficient audit committee, purchase and use SOX software solutions, and make practical, cost-effective decisions in your initial compliance year and beyond. You'll also find proven strategies for staying public or going private and learn how to deal with all those SOX forms. Discover how to: Establish SOX standards for IT professionals Minimize compliance costs in every area of your company Survive a section 404 audit Avoid litigation under SOX Anticipate future rules and trends Create a post-SOX paper trail Bolster your company's standing and reputation Work with SOX in a small business Meet new SOX standards Build a board that can't be bought Comply with all SOX management mandates Complete with invaluable tips on how to form an effective audit committee, Sarbanes-Oxley For Dummies is the resource you need to keep your SOX clean.

Examines how risk management security technologies must preventivus and corporate attacks, as well as providing insurance and processes for natural disasters such as fire, floods, tsunamis, terrorist attacks Addresses four main topics: the risk (severity, extent, origins, complications, etc.), current strategies, new strategies and their application to market verticals, and specifies for each vertical business (banks, financial institutions, large and smaller enterprises) A companion book to Manager's Guide to the Sarbanes-Oxley Act (0-471-56975-5) and How to Comply with Sarbanes-Oxley Section 404 (0-471-65366-7)

Effective Auditing with ASS, CoBIT, and ITIL

Sustained Sox

A Proven Program and Business Model for Security

Business Practical Security

Best Practices for Implementation

IT Auditing and Sarbanes-Oxley Compliance

Need help ensuring your company complies with Sarbanes-Oxley? Armed with this hands-on guide, you can detect early signs of fraud and operational loss, and safeguard your job, your employees' jobs, and the long-term success of your company. Don't let fraud derail your career. Protect yourself with the fail-safe Control Smart method found in Manager's Guide to the Sarbanes-Oxley Act. Order your copy today!

Responsive Security: Be Ready to Be Secure explores the challenges, issues, and dilemmas of managing information security risk, and introduces an approach for addressing concerns from both a practitioner and organizational management standpoint. Utilizing a research study generated from nearly a decade of action research and real-time experience, this book introduces the issues and dilemmas that fueled the study, discusses its key findings, and provides practical methods for managing information security risks. It presents the principles and methods of the responsive security approach, developed from the findings of the study, and details the research that led to the development of the approach. Demonstrates the viability and practicality of the approach in today's information security risk environment Demystifies information security risk management in practice, and reveals the limitations and inadequacies of current approaches Provides comprehensive coverage of the issues and challenges faced in managing information security risks today The author reviews existing literature that synthesizes current knowledge, supports the need for, and highlights the significance of the responsive security approach. He also highlights the concepts, strategies, and programs commonly used to achieve information security in organizations. Responsive Security: Be Ready to Be Secure examines the theories and knowledge in current literature, as well as the practices, related issues, and dilemmas experienced during the study. It discusses the reflexive analysis and interpretation involved in the final research cycles, and validates and refines the concepts, framework, and methodology of a responsive security approach for managing information security risk in a constantly changing risk environment.

Combines the areas of computer audit, computer control, and computer security in one book; Offers step-by-step guidance on auditing, control, and security; Provides numerous control objectives.

The Sarbanes-Oxley Act requires public companies to implement internal controls over financial reporting, operations, and assets—all of which depend heavily on installing or improving information security technology Offers an in-depth look at why a network must be set up with certain authentication computer science protocols (rules for computers to talk to one another) that guarantee security Addresses the critical concepts and skills necessary to design and create a system that integrates identity management, meta-directories, identity provisioning, authentication, and access control A companion book to Manager's Guide to the Sarbanes-Oxley Act (0-471-56975-5) and How to Comply with Sarbanes-Oxley Section 404 (0-471-65366-7)

Concepts, Methodologies, Tools, and Applications

IT Compliance and Controls

Skills, Techniques, and Methods

IT (Information Technology) Portfolio Management Step-by-Step

Federal Information Security Issues

A Guide for Small Publicly Held Companies

Since 1993, the Information Security Management Handbook has served not only as an everyday reference for information security practitioners but also as an important document for conducting the intense review necessary to prepare for the Certified Information System Security Professional (CISSP) examination. Now completely revised and updated and i

IT Compliance and Controls offers a structured architectural approach, a blueprint in effect, for new and seasoned executives and business professionals alike to understand the world of compliance from the perspective of what the problems are, where they come from, and how to position your company to deal with them today and into the future.

For more than 40 years, Computerworld has been the leading source of technology news and information for IT influencers worldwide. Computerworld's award-winning Web site (Computerworld.com), twice-monthly publication, focused conference series and custom research from the hub of the world's largest global IT media network.

Information technology auditing and Sarbanes-Oxley compliance have several overlapping characteristics. They both require ethical accounting practices, focused auditing activities, a functioning system of internal control, and a close watch by the board's audit committee and CEO. Written as a contribution to the accounting and auditing professions as well as to IT practitioners, IT Auditing and Sarbanes-Oxley Compliance: Key

Strategies for Business Improvement links these two key business strategies and explains how to perform IT auditing in a comprehensive and strategic manner. Based on 46 years of experience as a consultant to the boards of major corporations in manufacturing and banking, the author addresses objectives, practices, and business opportunities expected from auditing information systems. Topics discussed include the concept of internal controls, the audit process, and the responsibilities of the board of directors. The book uses several case studies to illustrate and clarify the material. Its chapters analyze the underlying reasons for failures in IT projects and how they can be avoided, examine critical technical questions concerning information technology, discuss problems related to system reliability and response time, and explore issues of compliance. The book concludes by presenting readers with a "what if" scenario. If Sarbanes-Oxley legislation had passed the U.S. Congress in the late 1990s or even 2000, how might this have influenced the financial statements of Enron and Worldcom? We can never truly know the answer, but if companies make use of the procedures in this book, debacles such as these – and those which led to the

2007-2008 credit and banking crisis – will remain a distant memory.

Sarbanes-Oxley IT Compliance Using Open Source Tools

Creating a Culture of Compliance

IT Audit, Control, and Security

Security Policies and Implementation Issues

Understanding and Implementing the New Framework

Complying with Sarbanes-Oxley Section 404

Essential guidance on the revised COSO internal controls framework Need the latest on the new, revised COSO internal controls framework? Executive's Guide to COSO Internal Controls provides a step-by-step plan for installing and implementing effective internal controls with an emphasis on building improved IT as well as other internal controls and integrating better risk management processes. The COSO internal controls framework forms the basis for establishing Sarbanes-Oxley compliance and internal controls specialist Robert Moeller looks at topics including the importance of effective systems on internal controls in today's enterprise risk management environment, and what has changed since the 1990s internal controls framework. Written by Robert Moeller, an authority in internal controls and IT governance Practical, no-nonsense coverage of all three dimensions of the new COSO framework Helps you change systems and processes when implementing the new COSO internal controls framework Includes information on how ISO internal control and risk management standards as well as COBIT can be used with COSO internal controls Other titles by Robert Moeller: IT Audit, Control, and Security, Executives Guide to IT

Governance Under the Sarbanes-Oxley Act, every corporation has to assert that their internal controls are adequate and public accounting firms certifying those internal controls are attesting to the adequacy of those same internal controls, based on the COSO internal controls framework. Executive's Guide to COSO

Internal Controls thoroughly considers improved risk management processes as part of the new COSO framework; the importance of IT systems and processes; and risk management techniques.

Praise for Sarbanes-Oxley Guide for Finance and Information Technology Professionals "Effective SOX programs enlist the entire organization to build and monitor a compliant control environment. However, even the best SOX programs are inefficient at best, ineffective at worst, if there is a lack of informed, competent finance and IT personnel to support the effort. This book provides these important professionals a needed resource for and road map toward successfully implementing their SOX initiative." —Scott Green, Chief Administrative Officer, Well, Gotshalk Manges LLP and author, Sarbanes-Oxley and the Board of Directors "As a former Sarbanes-Oxley practitioner, I can attest to the excellence of this subject."

"This book provides a clear road map for integrating SOX compliance into the fabric of everyday IT infrastructure and business practice Shows the reader how to leverage and use service-oriented architecture (SOA), a set of technologies that enables interoperation of heterogeneous computer systems, to achieve the level of internal controls over IT that SOX mandates

Addresses additional questions arising from the May 19, 2009, hearing on federal information security held by the Subcommittee on Government Management, Organization, and Procurement. In that hearing, there was a discussion on the current state of information security throughout the federal government and agency efforts to comply with the requirements of the Federal Information Security Management Act of 2002 (FISMA). Congress had the following two questions: (1) Comment on the need for improved cyber security relating to S.773, the proposed Cybersecurity Act of 2009; and (2) Provide recommendations to improve the Federal Information Security Management Act. This report provides the responses.

Sarbanes-Oxley Guide for Finance and Information Technology Professionals

A Practical Guide for Implementing a Sustainable Sarbanes-Oxley Process Volume I of III

Readings & Cases in Information Security: Law & Ethics

Risk Management Solutions for Sarbanes-Oxley Section 404 IT Compliance

Assessing the Effectiveness of Internal Control

IT Control Objectives for Sarbanes-Oxley

Developing an information security program that adheres to the principle of security as a business enabler must be the first step in an enterprise's effort to build an effective security program. Following in the footsteps of its bestselling predecessor, Information Security Fundamentals, Second Edition provides information security professionals with a clear understanding of the fundamentals of security required to address the range of issues they will experience in the field. The book examines the elements of computer security, employee roles and responsibilities, and common threats. It discusses the legal requirements that impact security policies, including Sarbanes-Oxley, HIPAA, and the Gramm-Leach-Bliley Act. Detailing physical security requirements and controls, this updated edition offers a

sample physical security policy and includes a complete list of tasks and objectives that make up an effective information protection program. Includes ten new chapters Broadens its coverage of regulations to include FISMA, PCI compliance, and foreign requirements Expands its coverage of governance issues Adds discussions of ISO 27001, ITIL, COSO, COBIT, and other frameworks Presents new information on mobile security issues Reorganizes the contents around ISO 27002 The book discusses organization-wide policies, their documentation, and legal and business requirements. It explains policy format with a focus on global, topic-specific, and application-specific policies. Following a review of asset classification, it explores access control, the components of physical security, and the foundations and processes of risk analysis and risk management. The text concludes by describing business continuity planning, preventive controls, recovery strategies, and how to conduct a business impact analysis. Each chapter in the book has been written by a different expert to ensure you gain the comprehensive understanding of what it takes to develop an effective information security program.

Sarbanes-Oxley Internal Controls: Effective Auditing with ASS, CoBIT, and ITIL is essential reading for professionals facing the obstacle of improving internal controls in their businesses. This timely resource provides at-your-fingertips critical compliance and internal audit best practices for today's world of SOX internal controls. Detailed and practical, this introductory handbook will help you to revitalize your business and drive greater performance.

What is the importance of Sections 302 and 404? Implementing SOX using COSO and COBIT SOX's impact on foreign companies and nonprofits Achieving cost-effective sustainable compliance The evolving role of the SEC and the PCAOB Praise for ESSENTIALS OF SARBANES-OXLEY "Since

its enactment in 2002, the Sarbanes-Oxley Act and its Section 404 internal control requirements have caused many a great deal of 'pain and suffering!' With its emphasis on what Sanjay Anand frequently reminds us is the 'real world,' this book should reduce some of that pain as it provides a practical and very realistic approach for an effective implementation of Sarbanes-Oxley internal control processes. The book has references to the new changes in auditing standards and emphasizes achieving sustainable compliance-practical and realistic approaches." —Robert R. Moeller, President, Compliance & Control Systems, Inc. "Sanjay Anand has provided what every busy executive needs, a concise overview of Sarbanes-Oxley Act essentials. His book is a terrific reference text that I recommend to anyone who needs to quickly understand the substance of the Act." —Scott Green, Chief Administration Officer, Well, Gotshalk & Manges LLP "If you are looking to put together the various pieces—finance, accounting, audit, legal, IT, ethics—and understand the 'big picture' of the Sarbanes-Oxley Act, there is no other book like this. With 'Tips & Techniques' and 'In the Real World' examples, this book brings lively, practical, tangible, and compressible dimensions to a complex, multifaceted (and often dry) subject. This is essential reading for those new to the process and old hands going into their third and fourth years of SOX. It will also help those in other countries adopting SOX-like internal controls and regulations." —Dr. Anthony Tarantino, Governance, Risk, and Compliance Center of Excellence, IBM,

Financial Services Sector, Silicon Valley and New York City Written by Sanjay Anand, one of the world's leading corporate governance, risk management, and regulatory compliance experts, this simple to use book is designed with appreciation for demanding professional obligations, with information always easy to find and at your fingertips. Essentials of Sarbanes-Oxley equips you with the knowledge you and all your company members need to initiate a SOX project, allocate a budget, and help your company achieve compliance.

Praise for How to Comply with Sarbanes-Oxley Section 404, Second Edition "In his Second Edition of How to Comply with Sarbanes-Oxley Section 404, Michael Ramos incorporates new developments and lessons learned in the last two years into the definitive guide on SOX 404 implementation . . . An effective tool not just for consultants, this book is The reference guide for every corporate manager facing SOX 404 implementation." —David W. Hinshaw, Executive Vice President and Chief Financial Officer, Southern Community Financial Corporation

"Very informative. . . this is a book you can actually sit down and read . . . Michael Ramos is extremely knowledgeable and insightful, and his level of detail related to proper documentation has been invaluable in helping me effectively perform Section 404 consulting engagements . . . This Second Edition contains the most pertinent updates and important PCAOB releases. Most importantly, Mr. Ramos has managed to effectively include real-world examples and lessons learned in the field over the last few years. This has saved me countless hours of research and my clients countless dollars." —Christina M. Wenk, CPA Director-Sarbanes-Oxley Compliance Grassi & Co. "How to Comply with Sarbanes-Oxley Section 404, Second Edition brings practical clarity to this complex topic and guides the reader, step by step,

through implementation. Mike Ramos draws on his deep understanding of the technical 404 requirements as well as his keen insights as a storyteller. . . Our firm has used Mike's guides over the years to understand and implement technical standards. This guide will be indispensable as we assist companies in the future." —Michael C. Knowles, Partner, Rimerman & Co. LLP

na Why Sarbanes-Oxley and Services Oriented Architecture May Be the Best Thing That Ever Happened to You

Essentials of Sarbanes-Oxley

Be Ready to Be Secure

Security Controls for Sarbanes-Oxley Section 404 IT Compliance

Information Security Management Handbook

The business to business trade publication for information and physical security professionals.

A step-by-step approach for planning and performing an assessment of internal controls Filled with specific guidance for small-business compliance to SEC and PCAOB requirements relating to Sarbanes-Oxley 404, Complying with Sarbanes-Oxley Section 404: A Guide for Small Publicly Held Companies provides you with specific guidance on working with auditors to achieve benefits and cost reductions. This practical guide helps you knowledgeable interpret and conform to Sarbanes-Oxley 404 compliance and features: Clear, jargon-free coverage of the Sarbanes-Oxley Act and how it affects you Links to current guidance online Specific guidance on how to work with auditors to achieve benefits and cost reductions Coverage of IT and IT general controls, best examples and action plans providing blueprints for implementing requirements of the act Easy-to-understand coverage of the requirements of the SEC and PCAOB Discussion of the requirements for assessing internal control effectiveness A look at how the new guidance will reduce your costs In-depth explanations to help professionals understand how best to approach the internal control engagement Practice aids, including forms, checklists, illustrations, diagrams, and tables Continuing to evolve and bring about business and cultural change, this area of auditing and corporate governance is demystified in Complying with Sarbanes-Oxley Section 404: A Guide for Small Publicly Held Companies, your must-have, must-own guide to SOX 404 implementation and an effective tool and reference guide for every corporate manager.

The Sarbanes-Oxley Act (officially titled the Public Company Accounting Reform and Investor Protection Act of 2002), signed into law on 30 July 2002 by President Bush, is considered the most significant change to federal securities laws in the United States since the New Deal. It came in the wake of a series of corporate financial scandals, including those affecting Enron, Arthur Andersen, and WorldCom. The law is named after Senator Paul Sarbanes and Representative Michael G. Oxley. It was approved by the House by a vote of 423-3 and by the Senate 99-0. This book illustrates the many Open Source cost-saving opportunities that public companies can explore in their IT enterprise to meet mandatory compliance requirements of the Sarbanes-Oxley Act. This book will also demonstrate by example and technical reference both the infrastructure components for Open Source that can be made compliant, and the Open Source tools that can aid in the journey of compliance. Although many books and reference material have been authored on the financial and business side of Sox compliance, very little material is available that directly address the information technology considerations, even less so on how Open Source fits into that discussion. The format of the book will begin each chapter with the IT business and executive considerations of Open Source and SOX compliance. The remaining chapter verbiage will include specific examinations of Open Source applications and tools which relate to the given subject matter. * Only book that shows companies how to use Open Source tools to achieve SOX compliance, which dramatically lowers the cost of using proprietary, commercial applications. * Only SOX compliance book specifically detailing steps to achieve SOX compliance for IT Professionals.

Praise for IT Portfolio Management Step-by-Step "Bryan Maizlish and Robert Handler bring their deep experience in IT 'value realization' to one of the most absent of all IT management practices—portfolio management. They capture the essence of universally proven investment practices and apply them to the most difficult of challenges—returning high strategic and dollar payoffs from an enterprise's IT department. The reader will find many new and rewarding insights to making their IT investments finally return market leading results." --John C. Reece, Chairman and CEO, John C. Reece & Associates, LLC Former deputy commissioner for modernization and CIO of the IRS "IT Portfolio Management describes in great detail the critical aspects, know-how, practical examples, key insights, and best practices to improve operational efficiency, corporate agility, and business competitiveness. It eloquently illustrates the methods of building and integrating a portfolio of IT investments to ensure the realization of maximum value and benefit, and to fully leverage the value of all IT assets. Whether you are getting started or building on your initial success in IT portfolio management, this book will provide you information on how to build and implement an effective IT portfolio management strategy." --David Mitchell, President and CEO, webMethods, Inc. "I found IT Portfolio Management very easy to read, and it highlights many of the seminal aspects and best practices from financial portfolio management. It is an important book for executive, business, and IT managers." --Michael J. Montgomery, President, Montgomery & Co. "IT Portfolio Management details a comprehensive framework and process showing how to align business and IT for superior value. Maizlish and Handler have the depth of experience, knowledge, and insight needed to tackle the challenges and opportunities companies face in optimizing their IT investment portfolios. This is an exceptionally important book for executive leadership and IT business managers, especially those wanting to build a process-managed enterprise." --Peter Fingar, Executive Partner, Greystone Group, coauthor of The Real-Time Enterprise and Business Process Management (BPM): The Third Wave "A must-read for the non-IT manager who needs to understand the complexity and challenges of managing an IT portfolio. The portfolio management techniques, analysis tools, and planning can be applied to any project or function." --Richard "Max" Maksimoski, Senior Director R&D, The Scotts Company "This book provides an excellent framework and real-world based approach for implementing IT portfolio management. It is a must-read for every CIO staff considering how to strategically and operationally impact their company's bottom line." --Donavan R.

Hardenbrook, New Product Development Professional, Intel Corporation

Key Strategies for Business Improvement

IT Best Practices for Financial Managers

Information Security and Ethics: Concepts, Methodologies, Tools, and Applications

The Controllor's Toolkit

How to Comply with Sarbanes-Oxley Section 404

Practical Financial and Executive Information Security and Internal Control "Our nation is faced with dual alarming trends of record highs in white-collar crime and seemingly record lows in ethics. The solution cannot be left only to legislators, regulators, and law enforcement. It requires the attention of all of us in business to create a culture of compliance. This new book by Martin Biegelman and Joel Bartow is an invaluable resource to achieving the highest levels of compliance." --Kathleen J. Hunter, former chief postal inspector and former president & CEO of the Council of Better Business Bureaus "This is a timely and thought-provoking addition to fraud and risk management literature. For seasoned executives who are navigating the maze of compliance, legislative requirements, and increasingly-sophisticated criminal activity, this book will be a frequent reference and guide. Neophyte managers will gain years of insight and direction that can only benefit their organizations. Academics, both faculty and students, will learn from the authors' ability to apply theory to high-level practice." --Gary R. Gordon, EdD, Professor of Economic Crime Management and Executive Director, Economic Crime Institute of Ulica College "All executives need to protect themselves and their organizations from the potentially catastrophic damage fraud can cause, both financially and reputationally. This new book is a very clear and practical guide to achieving that goal." --Toby J. F. Bishop, President and Chief Executive Officer, Association of Certified Fraud Examiners "This book is a must-read for anyone eager to understand—and prevent—the toxic mix of temptations that can destroy a company's reputation overnight. The authors, both seasoned former fraud investigators, bring a unique, clear-eyed perspective to the topic of corporate fraud. They have seen it all, and their book is an invaluable reference for senior management, compliance executives, in-house lawyers, and anyone else who cares about corporate integrity." --Leslie R. Caldwell, Partner, Morgan Lewis & Bockius former director, U.S. Department of Justice Enron Task Force "Excellent resource! A

great guide for corporate management in the post-Enron world." --Karen A. Popp, Partner, Sidley Austin Brown & Wood LLP and former associate counsel to President Bill Clinton and former federal prosecutor

Unlocking the Business Value of Technology

Sarbanes-Oxley Compliance Using COBIT and Open Source Tools